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February 2, 2005

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Art Unit 1756

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Application No. 10/795,801; Filed: March 9, 2004

For: Lithographic Apparatus and Device Manufacturing Method

Inventor: Henricus Wilhelmus Maria VAN BUEL

Our Ref: 1857.2720000

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. A listing of the cited documents on form PTO-1449;
- 3. Copies of the cited documents (AL1-AM1); and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents February 2, 2005 Page 2

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jason D. Eisenberg Attorney for Applicant Registration No. 43,447

JDE/lvt



NITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 7706

Henricus Wilhelmus Maria VAN BUEL

Art Unit: 1756

Appl. No.: 10/795,801

Examiner: To be assigned

Filed: March 9, 2004

Atty. Docket: 1857.2720000

For: Lithographic Apparatus and **Device Manufacturing Method** 

## **Information Disclosure Statement**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicant has checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this
  Information Disclosure Statement was cited in a communication from a foreign
  patent office in a counterpart application and this communication was not
  received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty
  days prior to the filing of this information disclosure statement.
- \[
   \text{ \text{S} 1.97(b)}. This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. 
   \[
   \] 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 
   \[
   \] 1.114. No statement or fee is required.
   \]
- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p). 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition: a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure

- 3 -

Henricus Wilhelmus Maria VAN BUEL

Appl. No. 10/795,801

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Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- 7. Copies of documents AL1-AM1 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted.

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8.	Copies of the documents were cited by or submitted to the Office in an IDS that
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed
	, which is relied upon for an earlier filing date under 35 U.S.C.
	§ 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
<u> </u>	It is expected that the examiner will review the prosecution and cited art in the
	parent application no(s) in accordance with MPEP 2001.06(b), and indicate
	in the next communication from the office that the art cited in the earlier
	prosecution history has been reviewed in connection with the present application.
	It is respectfully requested that the Examiner initial and return a copy of the
enclos	ed Form PTO-1449, and indicate in the official file wrapper of this patent
applica	ation that the documents have been considered.
	The U.S. Patent and Trademark Office is hereby authorized to charge any fee
deficie	ency, or credit any overpayment, to our Deposit Account No. 19-0036.
	Respectfully submitted,
	Sterne, Kessler, Goldstein & Fox P.L.L.C.
	Jason D. Eisenberg  Attorney for Applicant
	1 Internet for reprison

Registration No. 43,447

Date:

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May 6 E S.					ATTY. DOCKET NO. 1857.2720000		APPLICATION NO. 10/795,801	Page 1 of 1	
FORM PTO-1449					FIRST NAMED INVENTOR Henricus Wilhelmus Maria Van Buel				
FORM PTO-1449  CONTROL MATION DISCLOSURE STATEMENT					FILING DATE March 9, 2004		ART UNIT 1756		
				U.S. PA	ATENT DOCUMENTS		1730		
EXAMINER INITIAL		DOCUMENT NUMBER		DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
	AA1		6,891	03/22/1994	Vogt, et al.		000 02.00	7 12.110 2.112	
	AB1		3,193	06/04/1996	William E. Nelson				
	AC1	5,22	9,872	07/20/1993	Peter B. Mumola				
	AD1								
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	AF1	<del> </del>							
	AG1								
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	Al1								
	AJ1								
	AK1			-					
				FOREIGN	PATENT DOCUMENTS			<del></del>	
EXAMINER INITIAL	DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
	AL1	wo	98/38597	09/03/1998	WIPO			x Yes	
	AM1	wo	98/33096	07/30/1998	WIPO			x Yes	
	AN1							Yes No	
-	AO1							Yes No	
	AP1							Yes No	
	17.5		OTHER (	Including Auth	nor, Title, Date, Pertinen	t Pages, e	tc.)		
	AR	1							
	AS	1							
	АТ	1					-		

**EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**EXAMINER** 

DATE CONSIDERED